

16138 U.S. PTO  
012804

Docket: 1211 DIV

17497 U.S. PTO  
10/766061  
012804

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: James F. McGuckin, Jr., et al

Examiner: Thanh

Group Art Unit: 3764

Serial No: To Be Assigned  
(Divisional of 09/877,639)

Filed: Herewith

For: **VASCULAR DEVICE FOR VALVE LEAFLET APPPOSITION**

**APPLICATION TRANSMITTAL LETTER**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the ☒ utility ☐ design patent application in this case including:

1. ☒ This application is a ☐ Continuation; ☒ Divisional ☐ Continuation in Part of prior application Serial No. 09/877,639 filed on June 8, 2001, which claims priority from Provisional Patent Application Serial No. 60/214,120, filed June 26, 2000. [entire genealogy should be set forth].
2. ☐ This application claims priority from Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.
3. ☒ The application consisting of 26 pages (including specification, claims and abstract).
4. ☒ 20 sheet(s) of ☒ informal ☐ formal drawings are enclosed.
5. ☒ A copy of the signed declaration and power of attorney as filed in parent application serial no. 09/877,639, filed June 8, 2001, is enclosed.

The inventor(s) is/are: James F. McGuckin, Jr.; and Richard T. Briganti.

6. [X] A copy of the Assignment as filed in parent application serial no. 09/877,639, filed June 8, 2001 is enclosed. The Assignment was recorded on June 25, 2001, Reel 011947, Frame 0973.
7. [X] Enclosed is a Preliminary Amendment. Please enter the Preliminary Amendment for calculation of the filing fee.
8. [X] The Application fee is calculated below based on claims remaining after entry of the Preliminary Amendment.

No. Filed	No. Extra*	Rate:	Fee
Basic Fee:			\$ 385.00
Total Claims:	12 - 20 = 0	x 9.00	\$ 0.00
Indep Claims:	1 - 3 = 0	x 43.00	\$ 0.00

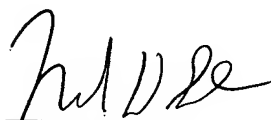
[ ] Multiple Dependent Claims  
Presented + \$280.00

\$ 0.00

**TOTAL: \$385.00**

8. [X] Please charge Deposit Account No. 501567 in the amount of \$385.00 which includes filing fee and recordation fee. **TWO DUPLICATE COPIES OF THIS PAPER ARE ENCLOSED.**
9. [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this application, or credit any overpayment to Deposit Account No. 501567. **TWO DUPLICATE COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,



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Date: 1/27/04

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Examiner: Unknown

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**CERTIFICATE OF EXPRESS MAILING**

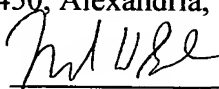
"Express Mail" Mailing Label No.: EU676769449US

Date of Deposit: 11/28/04

I hereby certify that the following:

- ☒ This Certificate of Express Mailing
- ☒ Application Transmittal letter
- ☒ A patent application consisting of 26 pages  
of abstract, specification and claims
- ☒ A copy of the executed Declaration and Power of Attorney  
as filed in parent application
- ☒ 20 sheets of [ ] formal [X] informal drawings
- ☒ A copy of the Assignment as filed in the parent application
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement
- ☒ PTO Form 1449
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the Date of Deposit indicated above in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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